

2881#



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Chicklis

Group Art Unit: 2881

Serial No.: 09/841727

Examiner: Monbleau, Davienne

Filed: 04/26/2001

Docket No.: 12679/59343

For: Eyesafe Q-Switched Laser

Paper No.:

To: Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Examiner Monbleau:

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being facsimile transmitted to the United States Patent and Trademark Office.

Date: 5/27/2003

Signature: [Signature]
Name: Anna M. Mason

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. sec. 1.97(c)

The present information disclosure statement is being filed after receipt of the first office action and more than three months after the filing of the application. The present information disclosure statement is also being filed before the mailing date of either a final office action or a notice of allowance. As such, the fee set forth in 37 C.F.R. 1.17(p) is provided herein.

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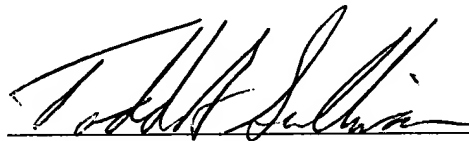
05/30/2003 CNGUYEN 00000076 190130 09841727

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REMARKS

As part of its duty of candor, the Applicant wishes to disclose to the Examiner a number of co-pending applications, which, while not of the same patent family, have similar named inventors, are owned by the same entity, and involve related art. Attached is a list of those applications and the respective references that have been cited by the reviewing authorities. Applicant is making no statement at this time as to whether any of the listed references is material to any of the other applications involving related art, but simply determined the proper prosecution of the present application warrants the Examiner's awareness of the co-pending applications and references cited in relation to them. Applicant is further providing an information disclosure statement for references presently cited and any fees required for review of the information disclosure statement.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Todd A. Sullivan", written over a horizontal line.

Todd A. Sullivan

Reg. No. 47,117

Devine, Millimet & Branch, P.A.

111 Amherst Street, P.O. Box 719

Manchester, NH 03105

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Fax: (603) 669-8547



List of References Cited Against Related Applications

Calcium Gallium Sulfide as a High Gain Erbium Host

Patent Application	Referenced Patent	Applicant
PCT/US02/018062	U.S. Patent No. 4942119	Ozin, et al.
10/165779	U.S. Patent No. 6047013	Payne et al.
10/165779	U.S. Patent No. 5535232	Bowman et al.
10/165779	U.S. Patent No. 5038358	Rand
10/165779	U.S. Patent No. 5682397	Scheps
10/165779	U.S. Patent No. 5200966	Esterowitz et al.
10/165779	U.S. Patent No. 5117437	Rand
10/165779	U.S. Patent No. 5166948	Gavrilovic et al.

Erbium Doped Crystal Amplifier

Patent Application	Referenced Patent	Applicant
US 10/184668	None yet	
PCT/US02/20434	None yet	

Optical Composite Ion/Host Crystal Gain Elements

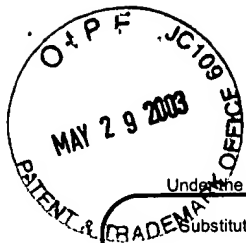
Patent Application	Referenced Patent	Applicant
10/164500	None yet	
PCT/US02/18060	None yet	

Crystalline Waveguide/Fiber Amplifier

Patent Application	Referenced Patent	Applicant
10/348095	None yet	

Eyesafe Q-Switched Laser

Patent Application	Referenced Patent	Applicant
09/841727	U.S. Patent No. 6246711	Stultze et al.
09/841727	U.S. Patent No. 5181211	Burnham et al.
09/841727	U.S. Patent No. 5557624	Stultze et al.
09/841727	U.S. Patent No. 6404785	Scheps
09/841727	U.S. Patent No. 6529675	Hayden et al.



PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	09/841727		
		Filing Date	4/26/2001		
		First Named Inventor	CHUCK KIM		
		Art Unit	2881		
		Examiner Name	Monbleau, Davienne		
Sheet		of		Attorney Docket Number	12679/59343

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4942119		Ozin et al.	
		US- 6047013		Payne et al.	
		US- 5535232		Bowman et al.	
		US- 5038358		Rand	
		US- 5682397		Scheps	
		US- 5200966		Esterowitz et al.	
		US- 5117437		Rand	
		US- 5166948		Gavrilovic	
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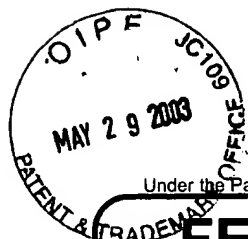
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180.00)

Complete if Known

Application Number 09/841 727
Filing Date 4/26/2001
First Named Inventor Chicklis
Examiner Name Monbleau, Davienne
Art Unit 2881
Attorney Docket No. 12679/59343

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 190130
Deposit Account Name BAE Systems

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims -20** = X =
Independent Claims -3** = X =
Multiple Dependent =

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

(Complete if applicable)

Name (Print/Type) _____ Registration No. _____ Telephone _____
(Attorney/Agent) _____ Date _____
Signature _____

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